

Pacific University
Annual Conflict of Interest Disclosure Form
For Faculty Members

All faculty members with 0.5 FTE or greater appointments must submit this form annually. Please fill out, sign, and return copies to your department chair or director and to the college dean (Provost for non-college faculty members). Forms are due by September 15 and cover the current fiscal year from July 1, _____, through the following June 30, _____.

Faculty Member Name _____

Please read Chapter 4 of the University Handbook, particularly sections 4.10.1 through 4.10.4. If these Handbook sections indicate that you do not have a potential conflict of interest that requires reporting, please check the box just below, do not fill out any more of this form, sign the form, and return copies as directed above. If the Chapter 4 sections indicate that you may have a potential conflict of interest, please fill out all of this form.

If you have any question about whether you need to fill out this form beyond the check-off box, please consult with the Dean or Director.

Please note that there is a separate form for external paid consulting. If you are doing external paid consulting you must fill out the External Consulting Disclosure Form and all of the Conflict of Interest Disclosure Form and return copies as directed.

I do not have any conflicts of interest or paid external consulting to report.

Research Role

This section does not apply to research grants where there is no potential conflict of interest, such as a government grant to conduct basic research. This section applies, for example, to funding from a company or organization that has a financial stake in the outcome of the research.

1. Do you anticipate seeking external research funding during the next twelve months?

Yes ___ No ___ If Yes, please explain

2. Do you currently serve as principal investigator for a funded research project?

Yes ___ No ___ If Yes, please explain

3. Do you have a role in the design, conduct or reporting of funded research?

Yes ___ No ___ If Yes, please explain

Financial Disclosure

4. Will you receive or do you anticipate receiving within the next twelve months personal income from a company or organization whose activities could give the perception of a possible conflict of interest? Personal income includes: consulting; speaking or other fees; honoraria; gifts; licensing revenues (royalty income); equity interests (including stocks, stock

options, warrants, partnership, and other equitable ownership interests, but excluding mutual funds). You do not need to divulge this income if there is no possible conflict of interest. Examples of non-conflicts: giving a paid lecture at a conference or an educational institution; receiving book royalties; receiving an honorarium for speaking at an organization that does not sell products in your field. Report income that might be perceived as leading to a conflict of interest, such as receiving income from an organization that produces products related to your professional work.

Yes ___ No ___ If Yes, please explain

5. To your knowledge, do you, your spouse, your domestic partner, or your dependents have any type of financial ownership interests (including stocks or other equity interest, but excluding mutual funds) or receive remuneration or compensation in any entity related to a current or proposed research project or that does business with Pacific University? An entity related to a current or proposed research project means any:
- A. supplier of equipment, materials, or services
 - B. business commercializing a product that your research is intended to evaluate or further develop
 - C. party whose financial interests would seem to be directly and significantly affected by your research
 - D. sponsor of your research

Yes ___ No ___ If Yes, please explain

Intellectual Property

6. Are you the inventor, co-inventor, or creator of intellectual property that has been licensed through Pacific University?

Yes ___ No ___ If Yes, please explain

7. Is this intellectual property the subject matter of or related to any sponsored research project with which you are involved?

Yes ___ No ___ If Yes, please explain

8. Do you receive royalties from the sponsor of any of your research projects?

Yes ___ No ___ If Yes, please explain

Management Planning

9. Do you have an agreed upon or proposed plan for management or elimination of potential conflict of interest? If so, please attach a copy of the relevant documents.

Yes ___ No ___ If Yes, please explain

Affirmation

In submitting this form, I affirm that the above information is true and complete to the best of my knowledge; I accept responsibility for complying with university policies on conflict of interest

and paid external consulting; and I assume responsibility for updating this disclosure as necessary.

Employee _____ Date: _____

Potential conflicts of interest have been properly managed.

____ Yes ____ No

Department Chair/Director _____ Date: _____

Dean/Provost _____ Date: _____